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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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Jay S. Walker

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CHADBOURNE & PARKE LLP
30 ROCKEFELER PLAZA
NEW YORK, NY 10112

EXAMINER

RIMELL, SAMUEL G

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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 09/507,509	Applicant(s) WALKER ET AL.	
	Examiner Sam Rimell	Art Unit 2164	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 31 October 2007.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 98-108, 110, 111 and 138-151 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 98-108, 110-111, 138-151 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 98-108, 110, 111 and 138-151 are rejected under 35 U.S.C. 103(a) as being unpatentable over Spallone et al. ('686) in view of Bezos ('399).

Claim 98: Spallone et al. discloses a shopping order system having a server (200) which includes a storage device for storing programs (col. 3 lines 62-65). Processors (220, 230) are connected to the server (220) via a communications network. The processors (220,230) receive conditional purchase offers from customers (FIGS 3B-3F—customer makes offers for food purchase and both knows and controls the price of offer (col. 7, lines 31-38)). The purchase offers are compared with seller inventory (FIG. 5). As seen in the far right column in FIG. 5 a determination is made as to whether the conditional purchase for the particular item is acceptable or unacceptable. If the purchase is unacceptable (by reason that the item is out of stock) then the rejection is formulated and transmitted to the user (col. 7, lines 6-8). The notation within the inventory database (FIG.5) that the item is out of stock prevents the customer from any orders on that particular item. This, in turn, limits any additional purchase offers being indicated by the customer no matter what the price is.

Spallone et al. differs from the claims in that it does not disclose the receipt of payment identifiers from the customer.

However, Bezos teaches a system that can be used in an environment where a merchant receives an order from a customer. In addition to the order, the customer can provide a payment identifier (lines 12-15 of abstract) that links the merchant to a customer credit card or debit card (col. 3, lines 7-10).

It would have been obvious to one of ordinary skill in the art to modify Spallone et al. to include the transmission of a payment identifier to the merchant to assist in the secure payment of the items being ordered, as taught by Bezos.

Claim 99: Col. 5, line 65 through col. 6, line 3 indicate that each conditional purchase offer has an expiration time. In Spallone et al., the expiration time is a period of thirty seconds without entering a command, at which point, the purchase offer is abandoned. Therefore, the expiration date is the same date as the offer, at time when thirty seconds have elapsed without entering data from the point of initiation.

Claim 100: The prices for the items in FIG. 5 are seller defined rules.

Claim 101: The customer uses a series of webpages (FIGS. 3A-3G). The program which permits the viewing of these pages is thus a web browser.

Claim 102: FIGS. 3A-3G form part of an electronic form. The user selects data to fill out the form. The data is summarized on a summary page in FIG. 3E that is blank until it receives data.

Claims 103-104: Bezos teaches that a customer submits identifiers indicative of a credit card account. The submission of data identifiers indicative of a debit account would also have been known in the art and would have been obvious to one of ordinary skill in the art to submit in order to permit direct cash account withdrawal.

Claim 105: In Bezos, the user submits the credit card to a database in advance of selecting the credit card and making the payment with the credit card. This is considered a pre-authorization for payments.

Claim 106: In Spallone et al., the user purchases food in particular, but the purchase of other items such as hardware would have been obvious to one of ordinary skill in the art as an obvious choice of available goods for purchase.

Claim 107: In FIG. 3E, the user authenticates the offer by indicating whether or not the offer is complete, or needs more items.

Claim 108: Bezos teaches the submission and processing of a credit card.

Claim 110: Col. 5, line 65 through col. 6, line 3 state that a customer has a predefined time limit associated with the offer. The customer is limited from submitting the offer if a period of 30 seconds elapses without entering data during the offer process.

Claim 111: The processor accesses a computer reservation system (database of FIG. 5).

Claim 138: See remarks for claim 98.

Claim 139: See remarks for claim 100.

Claim 140: See remarks for claim 101.

Claim 142-143: See remarks for claim 103-104.

Claim 144: A complete processing of a credit card guarantees payment to the vendor of the goods.

Claim 145: See remarks for claim 107.

Claim 146: See remarks for claim 106.

Claim 147: See remarks for claim 111.

Claim 148: See remarks for claim 98. Changing an “offer price from a customer” as recited in claim 98 to “a customer defined offer price from a customer” in this claim is essentially saying the same thing. An offer price from a customer is inherently customer defined, so this particular amendment does not appear to change the scope of the claim. Claim 148 has also been amended over claim 98 to define the limiting of additional conditional purchase offers “based on an unacceptable purchase offer”. This reads on purchase offers declined by the seller when the item is out of stock (col. 7, lines 6-8 of Spallone et al). If a first customer is refused an opportunity to buy a product when it is out of stock, additional offers for the same item will be refused as well until the item is re-stocked.

Claim 149: See remarks for claim 98. Claim 149 is analogous to claim 98 except: that it additionally specifies that a payment identifier is a customer credit card account number. This feature is specifically taught by Bezos (lines 12-15 of abstract). This claim also specifies an optional condition of a purchase offer being accepted and transmitting an indicator to third party for pre-authorization. Since this feature is optionally recited, it carries no patentable weight. Nonetheless, even if it were given patentable weight, it merely defines an inherent feature of credit card processing, which involves the pre-authorized use of credit by a third party credit processor or bank.

Claim 150: See remarks for claim 98. Claim 150 is identical to claim 98 except: that it defines “seller pricing information”, rather than “pricing information”. Spallone et al. teaches seller pricing information in FIG. 4.

Claim 151: See remarks for claim 98. Claim 151 is analogous to claim 98 except: that it defines a customer defined purchase condition and customer defined offer price. Both of these

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features are taught by Spallone. The customer defined condition is the purchase is the specified quantity of food (FIG. 3D). The customer defined price is the resulting price dependent upon the customer's specified quantity (FIG. 4). Claim 151 also has defined the action to deter applies to the "same" customer. In Spallone, an out of stock item is a deterrence to all customers.

Remarks

Applicant's arguments have been considered. Applicant argues that in Spallone, a customer is not "bound" to the transaction. This argument is moot, since none of the claims refer to any customer being bound to an offer. Nonetheless, examiner maintains that the customer of Spallone is bound to an offer, since there is no suggestion within the Spallone reference that the customer can walk away or does walk away after making the order. Assuming that the customer of Spallone can walk away or does walk away is outside the scope of teaching within this reference.

Applicant also argues that Spallone does not compare with the offer with seller inventory and pricing information. This argument is not correct. The offer is compared with the inventory of items and each item within the inventory has a specified price.

Applicant's third argument is that Spallone does not teach taking action to deter customers from submitting multiple purchase offers. This argument is not correct. In Spallone, col. 7, lines 6-8, the customer is notified that certain items are out of stock, which becomes a deterrent to the customer placing even more orders.

This office action follows the filing of an RCE request and is made non-final.

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Any inquiry concerning this communication should be directed to Sam Rimell at telephone number (571) 272-4084.

/Sam Rimell/
Primary Examiner, Art Unit 2164